

ALPHA SOLUTIONS INVESTMENT ADVISORS

Customer Relationship Summary

Our Firm, **Alpha Solutions Investment Advisors**, is registered with the Securities and Exchange Commission (“SEC”) as a Registered Investment Advisor (“RIA”). We are a Fee-Only RIA, as such, we provide investment advice, as a service, for fees rather than selling and distributing investment products to you for brokerage commissions. We provide discretionary investment advice and portfolio management services (rather than broker-dealer services), we are also not affiliated with a broker-dealer. We encourage you, as a retail investor, to understand the essential differences between services and fees among investment advisers versus those of broker-dealers. It is important that you familiarize yourself with the differences between these two types of services related to your account, a noteworthy difference is that as an investment advisor, we are obliged to represent our clients and are required by law to act as a fiduciary to serve them, while broker-dealers are not required to do so. Free and simple tools to research firms and financial professionals, and educational materials about broker-dealers, investment advisers and investing, are available at www.investor.gov/CRS.

What investment services and advice can you provide me? We provide day-to-day investment advisory and portfolio management services, to individuals, trusts, estates, charitable organizations, foundations, pension and profit-sharing plans, corporations, and other business entities. All our services are offered on a discretionary basis only, this means that you grant us the authority to make investment decisions and place trades (buys/sells) of securities on your behalf – based on each client’s specific goals, objectives and risk tolerance. Our investment management approach focuses on diversification, the long term, individually customized for each client, responsive to economic changes and are monitored frequently. As the economic landscape changes, we make adjustments to your stock, bond, cash and ETF investments.

Good questions to ask us:

Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, other qualifications? What do these qualifications mean?

What fees will I pay?

Our management fees are asset-based fees, structured for you based on your individual circumstances, derived from the total amount of Assets Under Management (“AUM”). The asset based fee schedule starts at 1.25% on the first \$2,000,000, on an annual basis and has lower tiers as your Assets reach higher levels. Our management fees are billed quarterly and in the arrears (for example if your portfolio begins on the 1st of January, we would bill you in April for the work provided in first calendar quarter. You will pay fees and costs whether you make or lose money on your investments. In addition to the Fees we charge, your custodian broker/dealer may charge you fees associated with your account such as custodian fees, account maintenance fees, transaction costs, surrender charges, wire transfer and electronic fund fees, internal management fees of mutual funds and variable annuities, and other product related fees such as redemption fees. Fees and costs will reduce any amount of money you make on your investment over time. Please make sure you understand what fees and costs you are paying. For additional information regarding our fees, please see Item 5 of our form ADV Part 2A which can be found at <https://adviserinfo.sec.gov/firm/summary/156541>.

A good question to ask us:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

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What are your legal obligations to me when acting as my investment adviser?

As your investment adviser, we are held to a fiduciary standard that covers our entire investment advisory relationship with you. We are required by law to act as a fiduciary at all times, which means we must act in your best interest and not put our interest ahead of yours.

How else does your firm make money and what conflicts of interest do you have?

Other than the fee structures described earlier in this document and in our FORM ADV 2A, we receive no commissions or soft-dollar compensation from the purchasing of securities on your behalf. We may recommend that you establish your brokerage accounts with a particular custodian, from who we receive support services such as access to institutional trading platforms, investment research, and other technology and research material that benefits us in monitoring, servicing and managing your accounts, and our investment advisory business, but in selecting and recommending appropriate custodians we primarily seek those custodians that are most qualified that can provide our clients with: best execution of trades and with a strong company history. We absolutely do not accept commissions or other monetary/non-monetary incentives for such recommendations. Charles Schwab & Co., Inc. and TD Ameritrade, Inc. act as our primary custodians for our client assets. For additional information, please see our Form ADV Part 2A which can be found at <https://adviserinfo.sec.gov/firm/summary/156541>.

A good question to ask us:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are paid a salary based on experience and client satisfaction, some of which are partially based on the fees the Firm earns from assets under management and overall performance. This style of compensation creates a conflict of interest because our financial professionals are incentivized to grow your account values and balances as well as motive to source/introduce new clients and assets to our firm. No employee or owner receives compensation based on their investment or financial recommendations.

Do you or your financial professionals have legal or disciplinary history?

No. Please visit [Investor.gov/CRS](https://investor.gov/CRS), for a free and simple tool to research our firm and financial professionals.

A good question to ask us:

As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information regarding our fees, services, and conflicts, please see our Form ADV Part 2A, or visit our website at <https://asiadvisors.com>. Please contact us at info@asiadvisors.com or 415-882-7088 for more up-to-date information or to request a copy of this client relationship summary.

A good question to ask us:

Who will be my primary contact person? Is he or she a representative of an investment advisor or a broker/dealer? Who can I talk to if I have concerns about how this person is treating me?